FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MASIMO.7CP1C10	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT Mohamed Khair Diab, et al.	
(USE SEVERAL SHEETS IF NECESSARY)	FILING DATE Herewith	GROUP Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
EW	1	3,638,640	2/1972	Shaw			
	2	3,647,299	3/1972	Lavallee			
	3	3,704,706	12/1972	Herczfeld, et al.			
	4	3,991,277	11/1976	Hirata			
	5	3,998,550	12/1976	Konishi, et al.			
	6	4,083,551	12/1977	Sweeney			
	7	4,086,915	5/1978	Kofsky, et al.			
	8	4,095,117	6/1978	Nagy			
	9	4,238,746	12/1980	McCool, et al.			
	10	4,243,935	1/1981	McCool, et al.			
	11	4,266,554	5/1981	Hamaguri			
	12	4,305,398	12/1981	Sawa			
	13	4,407,290	10/1983	Wilber			
	14	4,446,871	5/1984	Imura			
	15	4,519,396	5/1985	Epstein, et al.			
	16	4,537,200	8/1985	Widrow			
	17	4,586,513	5/1986	Hamaguri			
	18	4,617,589	10/1986	Weckenbrock			
	19	4,649,505	3/1987	Zinser, Jr., et al.			
	20	4,653,498	3/1987	New, Jr., et al.			
	21	4,694,833	9/1987	Hamaguri			
	22	4,714,341	12/1987	Hamaguri, et al.			
	23	4,723,294	2/1988	Taguchi			
	24	4,751,931	6/1988	Briller, et al.			
	25	4,773,422	9/1988	Issacson, et al.			
	26	4,781,200	11/1988	Baker			
	27	4,799,493	1/1989	DuFault			
EW	28	4,800,495	1/1989	Smith			

EXAMINER	/Eric Winakur/	DATE CONSIDERED	11/15/2006

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT Mohamed Kheir Diab, et al.	
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
EW	29	4,802,486	2/1989	Goodman, et al.			
	30	4,807,631	2/1989	Hersh, et al.			
	31	4,819,646	4/1989	Cheung, et al.			
	32	4,819,752	4/1989	Zelin			
	33	4,824,242	4/1989	Frick, et al.			
	34	4,848,901	7/1989	Hood, Jr.			
	35	4,858,199	8/1989	Griffith			
	36	4,859,056	8/1989	Prosser, et al.			
	37	4,860,759	8/1989	Kahn, et al.			
	38	4,863,265	9/1989	Flower, et al.			
	39	4,867,571	9/1989	Frick, et al.			
	40	4,869,253	9/1989	Craig, Jr. et al.			
	41	4,869,254	9/1989	Stone, et al.			
	42	4,883,353	11/1989	Hausman, et al.			
	43	4,883,356	11/1989	deMey, II			
	44	4,892,101	1/1990	Cheung, et al.			
	45	4,907,594	3/1990	Muz			
	46	4,911,167	3/1990	Corenman, et al.			
	47	4,913,150	4/1990	Cheung, et al.			
	48	4,927,264	5/1990	Shiga, et al.			
	49	4,928,692	5/1990	Goodman, et al.			
	50	4,934,372	6/1990	Corenman, et al.			
	51	4,942,877	7/1990	Sakai, et al.			
	52	4,948,248	8/1990	Lehman			
	53	4,955,379	9/1990	Hall			
	54	4,956,867	9/1990	Zurek, et al.			
EW	55	4,960,126	10/1990	Conlon, et al.			

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U.S. PATENT DOCUMENTS

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EW	56	5,042,499	8/1991	Frank, et al.			
	57	5,057,695	10/1991	Hirao, et al.			
	58	5,246,002	9/1993	Prosser			
	59	5,259,381	11/1993	Cheung, et al.			
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	62	5,458,128	10/1995	Pulanyi, et al.			
	63	5,482,036	1/1996	Diab, et al.			
	64	5,490,505	2/1996	Diab, et al.			
	65	5,632,272	5/1997	Diab, et al.			
	66	5,662,105	9/1997	Tien			
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	70	6,036,642	3/2000	Diab, et al.			
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	72	6,157,850	12/2000	Diab, et al.			
	73	6,206,830	3/2001	Diab, et al.			
	74	6,263,222	7/2001	Diab, et al.			
EW	75	6,236,872	5/2001	Diab, et al.			

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO
EW	76	0 341 327 A1	11/1989	European				
	77	0 335 357	29/3/89	European				
	78	EP 0 760 223 A1	8/1995	European				
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	83	SU1674798	9/1991	Abstract from Database WPI, Derwent Publications				
EW	84	92/15955	9/1992	PCT				

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EW	85	Braun, S., et al., *"Mechanical Signature Analysis – Theory and Applications,"* pp. 142-145, 202-203 (1986)
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	87	Chen, J. et al., *"Adaptive System for Processing of Electrogastric Signals!,* Images of the Twenty-First Century, Vol. 11, pp. 698-699, Seattle, WA, Nov. 9-12, 1989
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	89	European Search Report, dated September 1999.
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	97	Li, G., *"A Stable and Efficient Adaptive Notch Filter for Direct Frequency Estimation"*, IEEE Transactions on Signal Processing, Vol. 45, NO. 8, August 1997
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EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
EW	99	Mook, G. A., et al., *"Spectrophotometric Determination of Oxygen Saturation of Blood Independent of Presence of Idocyanine Green,"* Cardiovascular Research, Vol. 13, pp. 233-237, (1979)
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	102	Nehorai, A., *"A Minimal Parameter Adaptive Notch Filter With Constrained Poles and Zeros"*, IEEE Transactions On Acoustics, Speech, and Signal Processing, Vol. ASSP-33, No. 4, August 1985
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	113	Yelderman, M., et al., *"Ecg Enhancement by Adaptive Cancellation of Electrosurgical Interference,"* pp. 1-21
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	115	Yu, C., et al., *"Improvement in Arterial Oxygen Control Using Multiple Model Adaptive Control Procedures,"* pp. 878-883
	116	United States District Court – Civil Minutes, Case No. SA CV 99-1245 AHS (Anx), *Masimo v. Mallinckrodt and Nellcor Puritan Bennett*, Dated: 10/04/00
	117	United States Court of Appeals for the Federal Circuit – Opinion, Case No. 01-1038, - 1084, *Masimo v. Mallinckrodt, Inc. and Nellcor Puritan Bennett, Inc.*, Decided: 08/08/01
	118	Findings of Fact and Conclusions of Law Regarding Masimo's Motion for Preliminary Injunction, *Masimo v. Mallinckrodt inc., et al.*, U.S. District Court, Central District of California (Southern Division), Civil Action No. SA-CV-99-1245 AHS (Anx), filed November 3, 2000 (REDACTED)
	119	Nellcor's Third Amended Complaint for Patent Infringement
	120	Nellcor's and Mallinckrodt's Amended and Supplemental Answer and Counterclaims to Masimo Corporation's First Amended Complaint in Case No. CV-01-7293-MRP (AJWx)
	121	Nellcor's and Mallinckrodt's Amended and Supplemental Answer and Counterclaims to Masimo Corporation's First Amended Complaint in Case No. CV-01-7292-MRP (AJWx)
	122	Nellcor's and Mallinckrodt's First Amended and Supplemental Reply and Counterclaims to Counterclaims of Masimo Corporation.
	123	Non-Confidential Reply Brief of Defendants-Cross Appellants Mallinckrodt Inc. and Nellcor Puritan Bennett, Inc. dated February 22, 2001
	124	Nellcor's and Mallinckrodt's Reply Claim Construction Brief on the Patents-In-Suit dated October 18, 2002
EW	125	Nellcor's and Mallinckrodt's Opening Claim Construction Brief on the Patents-In-Suit dated September 16, 2002

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT Mohamed Kheir Diab, et al.	
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EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
EW	126	Non-Confidential Brief of Defendants-Cross Appellants Mallinckrodt Inc. and Nellcor Puritan Bennett, Inc. dated January 22, 2001
	127	Non-Confidential Brief of Plaintiff-Appellant Masimo Corporation dated December 8, 2000
	128	Non-Confidential Reply Brief of Plaintiff-Appellant Masimo Corporation dated February 6, 2001
	129	Memorandum of Decision and Order Re: Claim Construction; Motion to Strike Masimo's Declarations dated February 27, 2003
	130	Copending U.S. Application No. 09/195,791, filed on November 17, 1998 (Atty. No. 7CP1C5), and pending claims.
	131	Copending U.S. Application No. 10/006,427, published on June 20, 2002, (Atty. No. 7CP1C7) as U.S. Pub. No. US2002/0077536 A1.
	132	Copending U.S. Application No. 10/005,631, filed on December 4, 2001, (Atty. No. 7CP1C8), and pending claims
EW	133	Copending U.S. Application No. 10/062,859, published on September 12, 2002, (Atty. No. 7cp1c9) as U.S. Pub. No. US2002/0128544 A1.

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PTO/SB/08 Equivalent

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		
	Application No.	10/677,050
	Filing Date	September 30, 2003
	First Named Inventor	Mohamed K. Diab et al.
	Art Unit	3736
(Multiple sheets used when necessary)	Examiner	Eric F. Winakur
SHEET 1 OF 1	Attorney Docket No.	MASIMO.7CP1C10

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AUG 31 2005
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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number *Number - Kind Code (if known)* Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document *Country Code-Number-Kind Code* Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T[1]

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[1]
EW	1	Supplemental Response to Plaintiff Masimo's First Set of Interrogatories to Defendant Mallinckrodt Inc. Pursuant to FED.R.CIV.P.26(e).	
	2	Nellcor's Second Supplemental Response to Masimo's First Set of Interrogatories in Consolidated Case (No. 2), dated 7/14/03.	
	3	Addendum to Nellcor's Second Supplemental Response to Masimo's First Set of Interrogatories in the Consolidated Case (No. 2), dated 7/15/03.	
	4	Second Addendum to Nellcor's Second Supplemental Response to Masimo's First Set of Interrogatories in the Consolidated Case (No. 2), dated 8/10/03.	
	5	Third Addendum to Nellcor's Second Supplemental Response to Masimo's First Set of Interrogatories in the Consolidated Case (No. 2), dated 8/13/003.	
EW	6	Nellcor's October 13, 2003 Supplemental and Revised Response to Masimo's First Set of Interrogatories in the Consolidated Case (No. 2), dated 10/13/03.	

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Examiner Signature	/Eric Winakur/	Date Considered	11/15/2006

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PTO/SB/08 Equivalent

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application No.	10/677,050
	Filing Date	September 30, 2003
	First Named Inventor	Mohamed K. Diab
	Art Unit	3736
(Multiple sheets used when necessary)	Examiner	Eric F. Winakur
SHEET 1 OF 1	Attorney Docket No.	MASIMO.7CP1C10

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EW	1	U.S. States Court of Appeals for the Federal Circuit, Opinion, decided 09/07/2005.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 1 OF 1

Application No.	10/677,050
Filing Date	September 30, 2003
First Named Inventor	Mohamed K. Diab et al.
Art Unit	3768
Examiner	Eric F. Winakur
Attorney Docket No.	MASIMO.7CP1C10

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~~EW~~	~~1~~	~~4,863,265~~	~~09/1989~~	~~Flower et al.~~	
~~EW~~	~~2~~	~~4,819,752~~	~~04/1989~~	~~Zelin~~	
				cited on IDS filed 9/30/03	

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EW	3	EP 0 102 816	03/1984	New, Jr.		
EW	4	EP 0261 789	03/1988	Cheung et al.		
EW	5	EP 0271 340	06/1988	Yelderman		

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~~EW~~	~~6~~	~~International Search Report, App. No.: PCT/US 93/09004, App. Date: 1/13/1994, 3 pages.~~	

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